

COPY OF PAPERS  
ORIGINALLY FILED

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL FOR FY 2002

Complete if Known

Application Number 09/922,405

Filing Date August 3, 2001

First Named Inventor Charles A. Nicolette

Examiner Name Unassigned

Group Art Unit 1642

Attorney Docket No. GZ 2103.00

TOTAL AMOUNT OF PAYMENT

(\$130.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-1189

Deposit  
Account  
Name

McCutchen Doyle Brown & Enersen LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	x		\$
3**	x		\$
Multiple Dependent			\$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	330	219	160	Notice of Appeal	
120	330	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

## SUBMITTED BY

Name (Print/Type)

Antoinette F. Kanski

Registration No.  
(Attorney/Agent)

34,202

Complete (if applicable)

Telephone

(650) 849-4950

Signature

Date

Nov. 16, 2001

WARNING: Information on this form may become public. Credit card information should not

52065243.104497211  
BRIEF HOUR Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231



COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL  
FORM

(to be used for all correspondence after filing)

Total number of Pages in this submission

16

Application Number	09/922,405
Filing Date	August 3, 2001
First Named Inventor	Charles A. Nicolette
Group Art Unit	1642
Examiner Name	Unassigned
Attorney Docket No.	GZ-210300

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> FEE TRANSMITTAL FORM<br><input type="checkbox"/> FEE ATTACHED  | <input type="checkbox"/> ASSIGNMENT PAPERS<br>(FOR AN APPLICATION)                             | <input type="checkbox"/> AFTER ALLOWANCE<br>COMMUNICATION TO GROUP   |
| <input type="checkbox"/> AMENDMENT/RESPONSE<br><input type="checkbox"/> AFTER FINAL<br><input type="checkbox"/> AFFIDAVITS' DECLARATION(S) | <input type="checkbox"/> DRAWING(S)  | <input type="checkbox"/> APPEAL COMMUNICATION TO BOARD<br>OF APPEALS AND INTERFERENCES   |
| <input type="checkbox"/> EXTENSION OF TIME   | <input type="checkbox"/> LICENSING-RELATED<br>PAPERS   |  |
| <input type="checkbox"/> EXPRESS ABANDONMENT REQUEST   | <input type="checkbox"/> PETITION ROUTING SLIP<br>(PTO/SB/60) AND<br>ACCOMPANYING<br>PETITION) | <input type="checkbox"/> APPEAL COMMUNICATION TO GROUP<br>(APPEAL NOTICE, BRIEF, REPLY BRIEF)  |
| <input type="checkbox"/> INFORMATION DISCLOSURE<br>STATEMENT W/1449  | <input type="checkbox"/> TO CONVERT A<br>PROVISIONAL<br>APPLICATION                            | <input type="checkbox"/> PROPRIETARY INFORMATION   |
| <input type="checkbox"/> CERTIFIED COPY OF PRIORITY<br>DOCUMENT(S)   | <input type="checkbox"/> POWER OF ATTORNEY,<br>REVOCATION CHANGE OF<br>CORRESPONDENCE ADDRESS  | <input type="checkbox"/> STATUS LETTER   |
| <input type="checkbox"/> RESPONSE TO MISSING PARTS/<br>INCOMPLETE APPLICATION  | <input type="checkbox"/> TERMINAL DISCLAIMER   | <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S)<br>(PLEASE IDENTIFY BELOW):  |
| <input checked="" type="checkbox"/> RESPONSE TO MISSING PARTS<br>UNDER 37 CFR 1.52 OR 1.53<br>COPY OF PTO-1533                             | <input type="checkbox"/> SMALL ENTITY STATEMENT<br><input type="checkbox"/> REQUEST FOR REFUND | CERTIFICATE UNDER 37 C.F.R. § 373(b), COPY OF<br>SOLE ASSIGNMENT, DECLARATION FOR UTILITY APP,<br>PROSECUTION BY ASSIGNEE AND POA, COPY OF<br>NOTICE TO FILE MISSING PARTS DATED 9/17/01,<br>STATEMENT REGARDING SEQUENCE<br>LISTING, SEQUENCE LISTING DISKETTE AND RETURN<br>POSTCARD |
| <input type="checkbox"/> ISSUE FEE TRANSMITTAL<br><input type="checkbox"/>   | REMARKS:   |  |

MCCUTCHEN, DOYLE, BROWN & ENERSEN LLP  
THREE EMBARCADERO CENTER, SUITE 1800  
SAN FRANCISCO, CA 94111  
TELEPHONE: (650) 849-4950  
FACSIMILE: (650) 849-4800

DATE: NOVEMBER 16, 2001

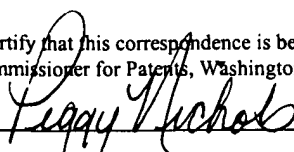
BY:

  
ANTOINETTE F. KONSKI (REG. NO. 34,202)

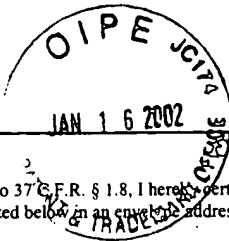
CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231** on this date: November 16, 2001.

By:



Name of person signing: Peggy Nichols



Docket No. GZ 210300

**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):**

[✓] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to Box: Missing Parts, Commissioner for Patents, Washington D.C. 20231.

[ ] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner \_\_\_\_\_ at Facsimile No. \_\_\_\_\_ at \_\_\_\_\_ a.m./p.m.

Dated: November 16, 2001 Name of Person Certifying: Peggy Nichols  
Printed Name: Peggy Nichols

COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Genzyme Corporation  
Filing Date: August 3, 2001  
Serial No.: 09/922,405  
Title: Therapeutic Anti-Melanoma Compounds

Assignee: Charles A. Nicolette  
Examiner: Unassigned  
Group Art Unit: 1642

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on February 6, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [4] page(s)  
Payment for surcharge for late filing ☐ \$65.00 *small entity* ☒ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. (- months), [ ] page(s) Fee \$ 00
- ☐ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice of Missing Parts of Application dated September 17, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.
- ☒ Other: Statement Under 37 CFR 3.73(b), Copy of Sole Assignment, Statement Regarding Sequence Listing and Sequence Listing and Diskette.

TOTAL FEES: \$130.00

- ☐ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ Check in the amount of \$ \_\_\_\_\_, Check No. \_\_\_\_\_ to cover the Total Fees due is enclosed.
- ☒ Please charge the above fee(s) to Deposit Account No. 50-1189, Docket No. 19442-7231, in the amount of \$130.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1189, Docket No. 19442-7231. *A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: Nov. 16, 2001

Respectfully submitted,

By: Antoinette F. Konski  
Antoinette F. Konski  
Registration No. 34,202

McCutchen, Doyle, Brown & Enersen, LLP  
Three Embarcadero Center, Suite 1800  
San Francisco, California 94111  
Telephone: (650) 849-4950  
Telefax: (650) 849-4800



OFFICE  
JAN 16 2002  
UNITED STATES PATENT AND TRADEMARK OFFICE  
& TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,405	08/03/2001	Charles A. Nicolette	126881-210300

CONFIRMATION NO. 7264

FORMALITIES LETTER



\*OC000000006567074\*

Antoinette F. Konski  
McCutchen, Doyle, Brown & Enersen, LLP  
18th Floor  
Three Embarcadero Center  
San Francisco, CA 94111

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

01/22/2002 ANAB11 00000013 501189 09922405  
01 FC:105 130.00 CH

- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- 

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be a stylized 'S' or 'Z' with a loop, written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE